

A rock-inhabiting ancestor for mutualistic and pathogen-rich fungal lineages

C. Gueidan^{1,3*}, C. Ruibal Villaseñor^{2,3}, G. S. de Hoog³, A. A. Gorbushina^{4,5}, W. A. Untereiner⁶ and F. Lutzoni¹

¹Department of Biology, Duke University, Box 90338, Durham NC, 27708 U.S.A.; ²Departamento de Ingeniería y Ciencia de los Materiales, Escuela Técnica Superior de Ingenieros Industriales, Universidad Politécnica de Madrid (UPM), José Gutiérrez Abascal 2, 28006 Madrid, Spain; ³CBS Fungal Biodiversity Centre, P.O. Box 85167, NL-3508 AD Utrecht, The Netherlands; ⁴Geomicrobiology, ICBM, Carl von Ossietzky Universität, P.O. Box 2503, 26111 Oldenburg, Germany; ⁵LBMP, Department of Plant Biology, Université de Genève, 30 quai Ernest-Ansermet, 1211 Genève 4, Switzerland; ⁶Department of Biology, Brandon University, Brandon, MB Canada R7A 6A9

Correspondence: Cécile Gueidan, c.gueidan@cbs.knaw.nl

Abstract: Rock surfaces are unique terrestrial habitats in which rapid changes in the intensity of radiation, temperature, water supply and nutrient availability challenge the survival of microbes. A specialised, but diverse group of free-living, melanised fungi are amongst the persistent settlers of bare rocks. Multigene phylogenetic analyses were used to study relationships of ascomycetes from a variety of substrates, with a dataset including a broad sampling of rock dwellers from different geographical locations. Rock-inhabiting fungi appear particularly diverse in the early diverging lineages of the orders *Chaetothyriales* and *Verrucariales*. Although these orders share a most recent common ancestor, their lifestyles are strikingly different. *Verrucariales* are mostly lichen-forming fungi, while *Chaetothyriales*, by contrast, are best known as opportunistic pathogens of vertebrates (e.g. *Cladophialophora bantiana* and *Exophiala dermatitidis*, both agents of fatal brain infections) and saprophytes. The rock-dwelling habit is shown here to be key to the evolution of these two ecologically disparate orders. The most recent common ancestor of *Verrucariales* and *Chaetothyriales* is reconstructed as a non-lichenised rock-inhabitant. Ancestral state reconstructions suggest *Verrucariales* as one of the independent ascomycetes group where lichenisation has evolved on a hostile rock surface that might have favored this shift to a symbiotic lifestyle. Rock-inhabiting fungi are also ancestral to opportunistic pathogens, as they are found in the early diverging lineages of *Chaetothyriales*. In *Chaetothyriales* and *Verrucariales*, specific morphological and physiological traits (here referred to as extremotolerance) evolved in response to stresses in extreme conditions prevailing on rock surfaces. These factors facilitated colonisation of various substrates including the brains of vertebrates by opportunistic fungal pathogens, as well as helped establishment of a stable lichen symbiosis.

Key words: Evolution of rock-dwelling habit, evolution of lichenisation, multigene phylogeny, ancestral state reconstruction, *Verrucariales* and *Chaetothyriales* (*Chaetothyriomycetidae*, *Eurotiomycetes*).

INTRODUCTION

During the evolutionary history of the Fungi, associations with various substrates have evolved, together with a wide range of nutritional modes and numerous symbiotic interactions. As a consequence, fungi are often referred to as “ecological opportunists”, in order to emphasise their ecological diversity (Thompson 1994, Gargas *et al.* 1995). The evolution of ecological traits in fungi has been the focus of several studies, in particular for symbiotic fungi like lichens and mycorrhiza (Gargas *et al.* 1995, Hibbett *et al.* 2000, Lutzoni *et al.* 2001, Grube *et al.* 2004, Schmitt *et al.* 2005). Recent improvement in higher-level classification of Fungi has been reached by large-scale multigene analyses (Lutzoni *et al.* 2004, James *et al.* 2006, Schoch *et al.* in press). These studies have clarified phylogenetic relationships within and between main groups of fungi, and some clades were delimited with an increased certainty. Several of these newly defined groups comprise puzzling assemblages of ecologically diverse taxa, for which we need to develop a deeper understanding of the evolution of their lifestyles.

Verrucariales and *Chaetothyriales*, two closely related ascomycete orders from the class *Eurotiomycetes*, are a good example of one of these evolutionary puzzles. Strongly supported as sister groups in many studies (Lutzoni *et al.* 2001, 2004, Lumbsch *et al.* 2005), these two orders are extremely disparate ecologically. *Verrucariales* are mostly lichenised, *i.e.*, forming stable symbiotic associations with one or two photosynthetic partners (mostly from the green algae), and have a preference for mineral substrates.

Chaetothyriales, in the other hand, was originally best known for its animal and human opportunistic pathogens (Winka *et al.* 1998), often called black yeasts in reference to their melanisation and growth form. This order of mostly anamorphic species also includes teleomorphs that occur as saprophytes on decaying wood and mushrooms (Untereiner *et al.* 1995, Untereiner 1997, 2000). Previous studies of the evolution of lichenisation have shown that the ancestor of these two orders was likely lichenised, and that opportunistic pathogens and saprophytes within *Chaetothyriales* probably derived from this ancestor by a loss of lichenisation (Lutzoni *et al.* 2001, James *et al.* 2006). Since two additional non-lichenised orders, *Corneliiales* and *Mycocaliciales*, have been affirmatively placed within this class (Geiser *et al.* 2006, Spatafora *et al.* 2006), our comprehension of the phylogenetic relationships and hence of the class *Eurotiomycetes* was drastically changed. Association with different habitats and substrates has gained an important role while the evolution of the *Chaetothyriales* and a broader selection of fungi from bare rock surfaces came into consideration.

This peculiar guild of ascomycetes, which inhabit bare rock surfaces, has consistently been overlooked when considering the evolution of ecological traits in fungi. First discovered in extreme environments, in the hot deserts and in Antarctica (Krumbein & Jens 1981, Friedmann 1982, Staley *et al.* 1982, Henssen 1987, Danin 1993), these fungi were shown to persistently colonise rock surfaces under more temperate climates (Urzi *et al.* 1995, Sterflinger & Prillinger 2001). These rock-inhabitants are particularly diverse in semiarid and arid habitats, where they thrive largely

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Table 1. Support values for the phylogeny of the *Pezizomycotina* dataset (PP and ML bootstrap) and for the ancestral state reconstruction of lichenisation. The state “non lichenised” was coded as 0, and the state “lichenised” as 1. For the Bayesian reconstructions (MCMC), the PPs of each state are indicated [P(0) and P(1)]. For the ML reconstructions on the Bayesian tree sample (ML), the number of trees supporting each state [Nt(0) and Nt(1)] or ambiguous [Nt(a)] are indicated, as well as the mean of the probabilities for each state across the 5000 trees [P_m(0) and P_m(1)]. Node numbers in this table refer to node numbers in Figure 1. Bold numbers indicate PP greater than 95 %. Results with AddNode option: ¹ P(0)=1.00 for node 5; ² P(0)=0.98 for node 7; ³ P(0)=0.83 for node 10; ⁴ P(1)=1.00 for node 12. Results using RAxML BS replicates: ⁵ P_m(0)=0.81 for node 13; ⁶ P_m(0)=0.89 for node 17; ⁷ P_m(0)=0.68 for node 18; ⁸ P_m(0)=0.78 for node 21.

| Nodes | Taxonomical groups | PP (%) | ML BS (%) | Ancestral state reconstruction of lichenisation (0=non lichenised, 1=lichenised) | | | | | | |
|-------|--|--------------|-----------|--|--------------------------|-------|-------|-------|--------------------------|--------------------|
| | | | | MCMC | | ML | | | | |
| | | | | P(0) | P(1) | Nt(0) | Nt(a) | Nt(1) | P _m (0) | P _m (1) |
| 1 | <i>Pezizomycotina</i> | 100 | 88 | 0.98 | 0.02 | 5000 | 0 | 0 | 1.00 | 0.00 |
| 2 | <i>Pezizomycotina</i> without <i>Pezizomyces</i> | 100 | 100 | 0.91 | 0.09 | 4983 | 17 | 0 | 0.99 | 0.01 |
| 3 | - | 100 | 84 | 0.71 | 0.29 | 270 | 4730 | 0 | 0.88 | 0.12 |
| 4 | <i>Leotiomycetes</i> + <i>Sordariomycetes</i> | 100 | 99 | 0.99 | 0.01 | 5000 | 0 | 0 | 1.00 | 0.00 |
| 5 | <i>Leotiomycetes</i> * | 65.38 | 67 | 1.00 ¹ | 0.00 | 5000 | 0 | 0 | 1.00 | 0.00 |
| 6 | <i>Sordariomycetes</i> | 100 | 100 | 0.99 | 0.01 | 5000 | 0 | 0 | 1.00 | 0.00 |
| 7 | <i>Pezizomyces</i> * | 99.66 | 74 | 1.00 ² | 0.02 | 5000 | 0 | 0 | 0.99 | 0.01 |
| 8 | <i>Arthoniomycetes</i> | 100 | 100 | 0.01 | 0.99 | 0 | 0 | 5000 | 0.00 | 1.00 |
| 9 | <i>Trypetheliaceae</i> | 100 | 100 | 0.00 | 1.00 | 0 | 0 | 5000 | 0.00 | 1.00 |
| 10 | <i>Dothideomycetes</i> * | 50.34 | <50 | 0.58 ³ | 0.42 | 14 | 4986 | 0 | 0.63 | 0.37 |
| 11 | <i>Dothideomycetes</i> without <i>Trypetheliaceae</i> | 100 | 91 | 1.00 | 0.00 | 5000 | 0 | 0 | 1.00 | 0.00 |
| 12 | <i>Lichinomycetes</i> + <i>Lecanoromycetes</i> * | 99.68 | <50 | 0.00 | 1.00 ⁴ | 0 | 14 | 4986 | 0.00 | 1.00 |
| 13 | <i>Eurotiomycetes</i> | 100 | 88 | 0.98 | 0.02 | 5000 | 0 | 0 | 1.00 ⁵ | 0.00 |
| 14 | <i>Mycocaliciales</i> | 100 | 100 | 1.00 | 0.00 | 5000 | 0 | 0 | 1.00 | 0.00 |
| 15 | <i>Eurotiomycetidae</i> | 100 | 100 | 0.99 | 0.01 | 5000 | 0 | 0 | 1.00 | 0.01 |
| 16 | <i>Eurotiales/Onygenales</i> | 100 | 100 | 1.00 | 0.00 | 5000 | 0 | 0 | 1.00 | 0.00 |
| 17 | <i>Eurotiomycetidae</i> + <i>Chaetothyriomycetidae</i> | 100 | 99 | 0.91 | 0.09 | 4969 | 31 | 0 | 0.98 ⁶ | 0.03 |
| 18 | <i>Chaetothyriomycetidae</i> | 100 | 100 | 0.55 | 0.45 | 0 | 5000 | 0 | 0.64 ⁷ | 0.36 |
| 19 | <i>Pyrenulales</i> | 100 | 100 | 0.05 | 0.95 | 0 | 555 | 4445 | 0.04 | 0.96 |
| 20 | <i>Chaetothyriales</i> | 100 | 100 | 0.99 | 0.01 | 5000 | 0 | 0 | 1.00 | 0.00 |
| 21 | <i>Chaetothyriales</i> + <i>Verrucariales</i> | 100 | 100 | 0.93 | 0.07 | 4985 | 15 | 0 | 0.98 ⁸ | 0.02 |
| 22 | Rock Fungi/ <i>Verrucariales</i> | 100 | 75 | 0.59 | 0.41 | 0 | 5000 | 0 | 0.65 | 0.35 |
| 23 | <i>Verrucariales</i> | 100 | 100 | 0.00 | 1.00 | 0 | 0 | 5000 | 0.00 | 1.00 |

due to the absence of competition, but also their extraordinary extremotolerance. A number of specific and universally present morphological and physiological characters enable them to tolerate surprisingly wide ranges of temperature, irradiation and osmotic stress (Palmer *et al.* 1990, Sterflinger 1998, Ruibal 2004, Gorbushina 2007). Melanisation protects the cells against UV and solar radiation, but also extremes of temperature and desiccation. The production of internal asexual spores and their typical isodiametrical (meristematic) growth form keep the volume-surface ratio optimal and, therefore, enable these fungi to survive extreme drought (Wollenzien *et al.* 1995). Finally, their ability to rely exclusively on sparse, airborne, low molecular weight nutrients (oligotrophism), contributes to the amazing survival capabilities that extremotolerance confers to rock-inhabiting fungi in these hostile habitats. The remarkable survival abilities of these fungi along with a capacity to penetrate minerals make this guild an attractive study object in microbial ecophysiology and applied research, such as biodeterioration of monuments and exobiology (Gorbushina *et al.* 1993, Diakumaku *et al.* 1995, Wollenzien *et al.* 1997, Gorbushina *et al.* 2002, Gorbushina 2003).

The first molecular phylogenetic studies to include some of these rock fungi showed that they belonged to two main groups of ascomycetes, the *Dothideomycetes* and the *Chaetothyriales* (Sterflinger *et al.* 1997, 1999, Ruibal 2004). These early studies did not allow a precise phylogenetic placement of most rock-inhabiting fungi as they either included many strains but a fast evolving phylogenetic marker (Ruibal 2004, Sert *et al.* 2007), or a slowly evolving marker but limited taxon sampling (Sterflinger *et al.* 1997, 1999). A recent detailed study of a broad sampling of rock-inhabiting fungi from Central Spain and Mallorca (Ruibal *et al.* 2005, 2008) revealed a large number of undiscovered rock-inhabiting strains and allowed us to infer the phylogenetic relationships of their Chaetothyrialean members in respect to other members of this order using a multigene analysis. By incorporating these unusual fungi within a phylogenetic study, we were able to obtain a better representation of the ecological diversity of this group. Taking into account recent contributions to fungal molecular phylogenetics and newly-discovered lineages of rock-inhabiting fungi, two main questions were addressed: (i) is the ancestor of the two orders *Chaetothyriales* and *Verrucariales* still reconstructed

as lichenised, as in previous studies, and (ii) is the rock-dwelling habit an ancestral trait to both the lichenised *Verrucariales* and the pathogen-rich *Chaetothyriales*. To answer these questions, we explore the evolution of the lichenisation and the rock-dwelling habit using ancestral state reconstruction methods. Our results are discussed within the broader framework of the origin of lichenisation and pathogenicity in ascomycetes.

MATERIAL AND METHODS

Taxon sampling

Two different datasets were used. The evolution of lichenisation, which was shown in previous studies to be highly dependent on the relationships inferred between classes of ascomycetes (Lutzoni *et al.* 2001, James *et al.* 2006), was analysed with a dataset representative of almost all main groups of this phylum, except for some early diverging lineages. This dataset included a total of 92 accessions (*Pezizomycotina* dataset; Appendix 1 in Supplementary Information), sampled in order to represent the phylogenetic and ecological (lichenised vs. non-lichenised) diversity of this phylum. The study of the evolution of the rock-inhabiting habit was limited to our group of interest, the class *Eurotiomycetes*, and included a total of 183 accessions (*Eurotiomycetes* dataset; Appendix 2 in Supplementary Information). This dataset includes 25 isolates of rock-inhabiting fungi, most of which selected from a larger pool of strains (Ruibal 2004, Ruibal *et al.* 2008), to represent all groups separated by a 95 % ITS similarity criterion.

Molecular data

The *Pezizomycotina* dataset included three phylogenetic markers, the small and large subunits of the nuclear ribosomal RNA gene (nucSSU and nucLSU) and the largest subunit of the RNA polymerase II (*RPB1*). For this dataset, the gene sequences of 75 taxa were obtained from GenBank. The *Eurotiomycetes* dataset included the same three loci, and one additional phylogenetic marker, the small subunit of the mitochondrial ribosomal RNA gene (mtSSU). In total, this study generated 68 sequences of nucLSU, 64 of nucSSU, 129 of mtSSU and 61 of *RPB1*, most of them for taxa within the *Eurotiomycetes* (Appendices 1 and 2). The sequences of nucLSU, nucSSU and *RPB1* were generated using protocols described in Gueidan *et al.* (2007), and sequences of mtSSU were obtained following the protocol published in Zoller *et al.* (1999).

Alignments and phylogenetic analyses

Sequence editing, alignment and congruence assessment were done as described in Gueidan *et al.* (2007). Phylogenetic relationships and confidence were inferred using a Bayesian approach. Additional support values were estimated using maximum likelihood (ML) bootstrap. For the Bayesian approach, the Akaike Information Criterion, as implemented in Modeltest 3.7 (Posada & Crandall 1998), was used to estimate models of molecular evolution. For both datasets, a GTR+I+G model was used for the different partitions (nucLSU, nucSSU, mtSSU, *RPB1* first, second and third codon positions), except for the 3rd codon position of *RPB1* region D-G, which was not concatenated with region A-D in the *Eurotiomycetes* dataset, and was subjected to a HKY+I+G model. For each dataset, two independent analyses of two parallel

runs and four chains were carried out for 5,000,000 generations using MrBayes 3.1.2 (Ronquist & Huelsenbeck 2003), and trees were sampled every 500 generations. A burn-in sample of 5000 trees was discarded from the first run. The remaining 5000 trees were used to estimate branch lengths and posterior probabilities (PPs) with the sumt command in MrBayes. The program RAxML-VI-HPC (Stamatakis *et al.* 2005) was used for the ML bootstrap analysis with 1000 replicates and a GTRMIX model of molecular evolution applied to the partitions previously defined.

Ancestral state reconstructions

A recent study showed that estimates of ancestral states may vary depending on the reconstruction methods applied (Ekman *et al.* 2008). For this reason, two methods were used (comparisons in Tables 1 and 2): a Bayesian approach using BayesMultiState (Pagel *et al.* 2004) and an ML approach applied to a sample of 5 000 Bayesian trees using MultiState (Pagel *et al.* 2004). Ancestral state reconstructions of lichenisation were carried out on 23 nodes of the *Pezizomycotina* dataset (Fig. 1, Table 1), and the rock-dwelling habit on 28 nodes of the *Eurotiomycetes* dataset (Fig. 2, Table 2). All nodes were present in all 5 000 Bayesian trees, except for nodes 5, 7, 10, and 12 of the *Pezizomycotina* dataset (Table 1). The program uses a continuous-time Markov model of trait evolution, allowing unequal rates of losses and gains (Lewis 2002). For the Bayesian approach, a uniform prior (0, 100) was chosen, and the analysis was allowed to run for 5 000 000 iterations, with a sample period of 100 and with the option AddMRCA. Output files from BayesMultiState were analysed using Tracer v.1.2.1 (Rambaut & Drummond), with a Burn-in of 500 000 iterations, and output files from MultiState were analysed with Excel v. 11.3.3 (Microsoft).

RESULTS

Ancestral state reconstructions

Ancestral state reconstructions gave similar results with maximum likelihood (ML) and Bayesian methods (MCMC), except for some nodes supported in more than 95 % of the Bayesian trees with ML (nodes 2 and 17), but with only moderate supports with MCMC (90 % < posterior probability [PP] < 95 %).

Analyses were done using the option AddMRCA, which allows the reconstruction to be carried out on all trees, even if they do not have the node of interest, by finding, for these particular trees, a node that contains at least all the species otherwise present in the node of interest. For the four nodes that were not present in all Bayesian trees (nodes 5, 7, 10 and 12 of the *Pezizomycotina* dataset), the option AddNode, restricting the reconstructions to the trees having the node of interest, was also used for comparison (see legend of Table 1). For these four nodes, whether all trees were used (option AddMRCA) or only the trees with the node of interest (option AddNode), did not have a major effect on most of the reconstructions, at the exception of node 3, for which the posterior probability to be non-lichenised increased from 0.58 to 0.83 when using the option AddNode.

Bayesian and ML ancestral state reconstructions on Bayesian trees allow taking into account phylogenetic uncertainties (Pagel *et al.* 2004). However, because the Bayesian approach as implemented in MrBayes was shown to sometime overestimate posterior probabilities on short internodes (Alfaro *et al.* 2003),

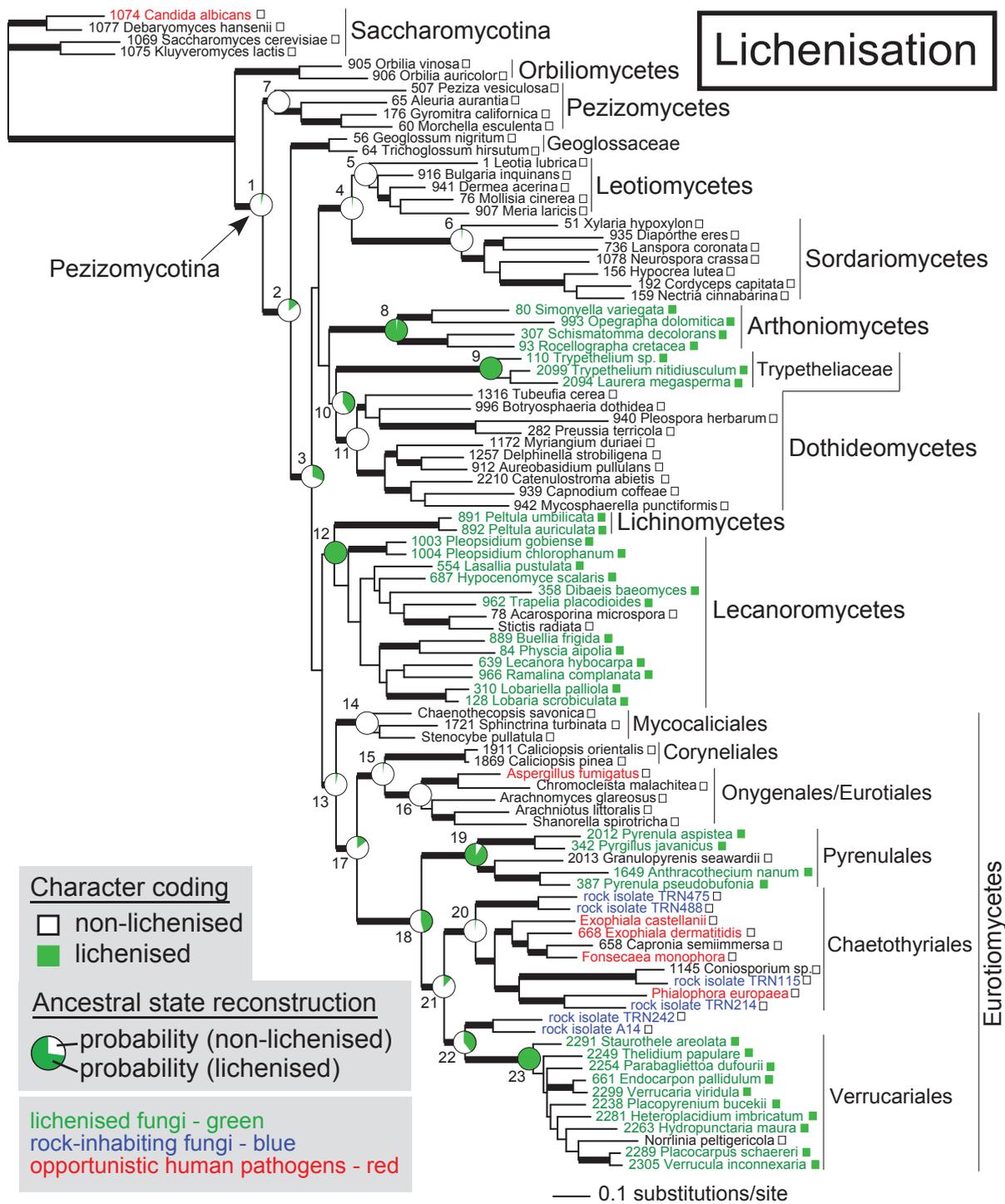


Fig. 1. Three-locus phylogeny of the *Pezizomycotina* obtained from a Bayesian MCMC analysis depicting ancestral state reconstruction of lichenisation. Thick branches represent nodes supported by PP $\geq 95\%$ and ML bootstrap $\geq 70\%$ (see Table 1 for numerical values). Boxes after each name indicate the state for each extant taxon (white box = non-lichenised, green box = lichenised). Posterior probabilities for each of the two states are represented in pie charts at each reconstructed node (numbered from 1 to 23). Additional information for these 23 nodes is provided in Table 1.

phylogenetic uncertainty linked to nodes with 100 % PP but low or moderate bootstrap (BS) values is not accounted for in our analyses. Therefore, the effect of the underestimation of the phylogenetic uncertainty linked to PPs was further explored for four nodes of interest of the *Pezizomycotina* dataset (nodes 13, 17, 18, and 21). Ancestral state reconstructions were carried out on these four nodes using a ML approach on 500 trees obtained with branch lengths from bootstrap replicates in RAXML (see legend of Table 2). The results show that the probability for the state “non-lichenised”

for nodes 13, 17 and 21 (all superior to 98 % when using the Bayesian tree sample) gave only moderate support when using the bootstrap tree sample (PPs ranging from 78 to 89 %).

Evolution of lichenisation

A first phylogenetic analysis was conducted on a dataset including representatives from almost all main groups of ascomycetes (Fig. 1). The topology inferred is similar to those recovered in two recent

Table 2. Support values for the phylogeny of the *Eurotiomycetes* dataset (PP and ML bootstrap) and for the ancestral state reconstruction of the rock-dwelling habit (PP). The state “non rock-inhabitant” was coded as 0, and the state “rock-inhabitant” as 1. For the Bayesian reconstructions (MCMC), PPs of each state are indicated [P(0) and P(1)]. For the ML reconstructions on the Bayesian tree sample (ML), the number of trees supporting each state [Nt(0) and Nt(1)] or ambiguous [Nt(a)] are indicated, as well as the mean of the probabilities for each state across the 5000 trees [P_m(0) and P_m(1)]. Node numbers in this table refer to node number in Figure 1. **Bold** numbers indicate PP greater than 95 %.

| Nodes | Taxonomic groups | PP (%) | ML BS (%) | Ancestral state reconstruction of the rock dwelling habit (0=non rock-inhabitant, 1=rock-inhabitant) | | | | | | | |
|-------|---|------------|-----------|---|-------------|-------|-------|-------|--------------------|--------------------|--|
| | | | | MCMC | | ML | | | | | |
| | | | | P(0) | P(1) | Nt(0) | Nt(a) | Nt(1) | P _m (0) | P _m (1) | |
| 1 | <i>Eurotiomycetes</i> | 100 | 100 | 0.80 | 0.20 | 0 | 5000 | 0 | 0.83 | 0.17 | |
| 2 | <i>Eurotiomycetidae</i> | 100 | 98 | 0.80 | 0.20 | 0 | 5000 | 0 | 0.83 | 0.17 | |
| 3 | <i>Eurotiales/Onygenales/Arachnomycetales</i> | 100 | 100 | 0.95 | 0.05 | 4765 | 235 | 0 | 0.96 | 0.04 | |
| 4 | <i>Onygenales</i> | 100 | 80 | 0.94 | 0.06 | 4973 | 24 | 0 | 0.97 | 0.03 | |
| 5 | <i>Eurotiales</i> | 100 | 100 | 0.99 | 0.01 | 5000 | 0 | 0 | 1.00 | 0.00 | |
| 6 | <i>Eurotiomycetidae/Chaetothyriomycetidae</i> | 100 | 100 | 0.59 | 0.41 | 0 | 5000 | 0 | 0.59 | 0.42 | |
| 7 | <i>Chaetothyriomycetidae</i> | 100 | 100 | 0.22 | 0.78 | 0 | 5000 | 0 | 0.18 | 0.82 | |
| 8 | <i>Pyrenulales</i> | 100 | 100 | 0.85 | 0.15 | 0 | 5000 | 0 | 0.88 | 0.12 | |
| 9 | <i>Chaetothyriales</i> | 100 | 100 | 0.14 | 0.86 | 0 | 4774 | 226 | 0.08 | 0.92 | |
| 10 | - | 100 | 93 | 0.27 | 0.73 | 0 | 5000 | 0 | 0.21 | 0.79 | |
| 11 | - | 100 | 100 | 0.05 | 0.95 | 0 | 4 | 4996 | 0.03 | 0.97 | |
| 12 | <i>Coniosporium/Sarcinomyces</i> | 100 | 100 | 0.03 | 0.97 | 0 | 0 | 5000 | 0.02 | 0.98 | |
| 13 | - | 100 | 81 | 0.58 | 0.42 | 0 | 5000 | 0 | 0.58 | 0.42 | |
| 14 | - | 100 | 100 | 0.92 | 0.09 | 717 | 4283 | 0 | 0.94 | 0.07 | |
| 15 | <i>Cladophialophora/Fonsecaea</i> | 100 | 78 | 0.88 | 0.12 | 0 | 5000 | 0 | 0.90 | 0.10 | |
| 16 | - | 100 | 94 | 0.34 | 0.66 | 0 | 5000 | 0 | 0.30 | 0.70 | |
| 17 | - | 100 | 86 | 0.98 | 0.03 | 5000 | 0 | 0 | 0.99 | 0.01 | |
| 18 | <i>Verrucariales/Chaetothyriales</i> | 100 | 100 | 0.05 | 0.95 | 0 | 0 | 5000 | 0.02 | 0.98 | |
| 19 | <i>Verrucariales</i> | 100 | 100 | 0.01 | 0.99 | 0 | 0 | 5000 | 0.00 | 1.00 | |
| 20 | <i>Staurothel/Catapyrenium/Placidopsis</i> | 100 | 96 | 0.00 | 1.00 | 0 | 0 | 5000 | 0.00 | 1.00 | |
| 21 | <i>Polyblastial/Thelidium/Verrucaria</i> | 100 | 99 | 0.00 | 1.00 | 0 | 0 | 5000 | 0.00 | 1.00 | |
| 22 | <i>Placopyrenium/Verruculopsis</i> | 100 | 97 | 0.92 | 0.08 | 578 | 4422 | 0 | 0.94 | 0.08 | |
| 23 | <i>Endocarpon/Neocatapyrenium</i> | 100 | 95 | 0.00 | 1.00 | 0 | 0 | 5000 | 0.00 | 1.00 | |
| 24 | <i>Hydropunctaria</i> | 100 | 99 | 0.02 | 0.99 | 0 | 0 | 5000 | 0.01 | 0.99 | |
| 25 | <i>Verrucula/Placocarpus/Norrinia</i> | 100 | 82 | 0.53 | 0.47 | 0 | 5000 | 0 | 0.53 | 0.47 | |
| 26 | <i>Placidium/Heteroplacidium</i> | 100 | 100 | 0.84 | 0.16 | 0 | 5000 | 0 | 0.87 | 0.13 | |
| 27 | <i>Bagliettoal/Parabagliettoa</i> | 100 | 88 | 0.00 | 1.00 | 0 | 0 | 5000 | 0.00 | 1.00 | |
| 28 | <i>Mycocaliciales</i> | 100 | 100 | 0.93 | 0.07 | 1725 | 3265 | 0 | 0.95 | 0.06 | |

large-scale phylogenetic analyses (James *et al.* 2006, Spatafora *et al.* 2006), except for the placement of the *Geoglossaceae*, which is here strongly supported as an early diverging lineage in the *Pezizomycotina*, the largest subphylum of ascomycetes (see also Wang *et al.* 2006, Schoch *et al.* in press). Lichenisation is shown to have evolved independently three to five times in the *Pezizomycotina*: once in the lineage including Lecanoromycetes and Lichinomycetes (node 12; PP_{MCMC} = 1.00), once or twice in the lineage including Pyrenulales (node 19; PP_{MCMC} = 0.95) and

Verrucariales (node 23; PP_{MCMC} = 1.00), and once or twice in the lineage including Arthoniomycetes (node 8; PP_{MCMC} = 0.99) and *Dothideomycetes* (node 9; PP_{MCMC} = 1.00 for Trypetheliaceae). In contrast to previous studies (Lutzoni *et al.* 2001, James *et al.* 2006), the ancestor of *Eurotiomycetes* is reconstructed as non-lichenised (node 13; PP_{MCMC} = 0.98), as well as the ancestor of both *Verrucariales* and *Chaetothyriales*, although with lower support (node 21; PP_{MCMC} = 0.93).

Rock-dwelling habit

Character coding

- non rock-inhabitant
- rock-inhabitant

Ancestral state reconstruction

- probability (non rock-inhabitant)
- probability (rock-inhabitant)

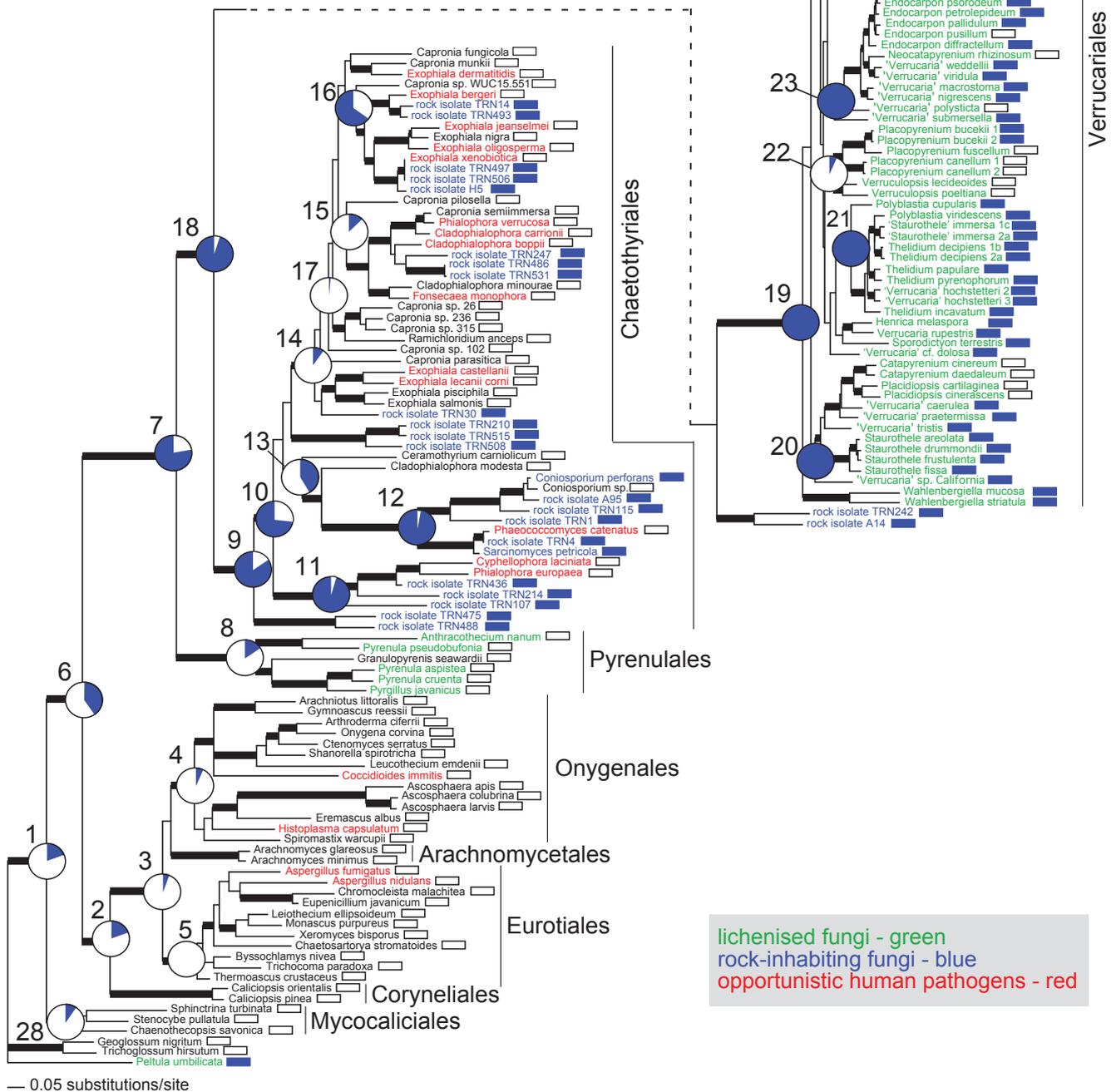


Fig. 2. Four-locus phylogeny of the *Eurotiomycetes* obtained from a Bayesian MCMC analysis depicting ancestral state reconstruction of the rock-dwelling habit. Thick branches represent nodes supported by PP $\geq 95\%$ and ML bootstrap $\geq 70\%$ (see Table 2 for numerical values). Boxes after each name indicate the state for each extant taxon (white box = non rock substrate, blue box = rock substrate). Posterior probabilities for each of the two states are represented in pie charts at each reconstructed node (numbered from 1 to 28). Additional information for these 28 nodes is provided in Table 2.

Evolution of the rock-dwelling habit

A second phylogenetic analysis was conducted on a dataset including representatives from all orders within *Eurotiomycetes* (Fig. 2). Relationships between orders were strongly supported and congruent with previous analyses (Lutzoni *et al.* 2004, Geiser *et al.* 2006). Most of the rock isolates are shown to belong to the *Chaetothyriales*, except for two strains (rock isolates TRN242 and A14), which are here resolved (but not supported) as sister to the lichen order *Verrucariales*. Within the *Chaetothyriales*, some rock isolates are closely related to previously described species (e.g., rock isolate TRN4 and *Phaeococcomyces catenatus*), and are nested within a large group including most of the saprophytes and opportunistic pathogens. Other strains consist in early diverging lineages, some of which are novel (e.g., lineage including rock isolates TRN475 and TRN488). When reconstructing the rock-dwelling habit, the ancestor of the monophyletic group including *Verrucariales* and *Chaetothyriales* (node 18; $PP_{\text{MCMC}} = 0.95$), as well as the ancestor of the *Verrucariales* (node 19; $PP_{\text{MCMC}} = 0.99$), are supported as inhabiting rock surfaces. The ancestor of the *Chaetothyriales* is also reconstructed as rock inhabitant, although with lower support (node 9; $PP_{\text{MCMC}} = 0.86$).

DISCUSSION

During the evolutionary history of the Fungi, many transitions in lifestyles and habitats have occurred, giving rise to an amazing ecological diversity. In order to better understand their evolution, ancestral state reconstructions methods have been used to characterise these ecological shifts (Hibbett *et al.* 2000, Lutzoni *et al.* 2001). However, the discovery of new fungal lineages and the recent contributions to fungal molecular phylogenetics have greatly changed our perception of the evolution of some group of fungi, as, for example, the two ascomycetes orders *Verrucariales* and *Chaetothyriales*. In our study, the inclusion of the newly discovered rock-inhabiting fungi and other groups recently shown to belong to the *Eurotiomycetes* is reshaping our understanding of the evolution of this group. Reconstructions prove the key-role of primordial habitats like rock surfaces by suggesting that the ancestor of both lichenised *Verrucariales* and pathogen-rich *Chaetothyriales* was most likely non-lichenised and dwelled on rock surfaces. Similar to present-day rock-inhabiting fungi, this ancestor most probably possessed characters universally effective against different stresses (temperature, desiccation, pH, radiation), such as melanisation, and meristematic growth. Our results further imply that existing views on the evolutionary history of lichenisation within ascomycetes should be revised. Rock surfaces are proposed as the ancestral habitat for the lichenised *Verrucariales*. The results also support the hypothesis that, in *Chaetothyriales*, factors involved in pathogenicity evolved from characters enabling survival to physical stresses and life in extreme environments.

Evolution of lichenisation

Previous studies have suggested that the lichen symbiosis has evolved multiple times in fungi (Gargas *et al.* 1995, Lutzoni *et al.* 2001). In ascomycetes, where the great majority of lichen species belong, the evolution of lichenisation was shown to be limited to only one-three origins, and three-four losses (Lutzoni *et al.* 2001). These results supported the hypothesis that lichenisation results

from complex and specialised interactions between symbiotic partners, unlikely to have evolved frequently and independently in different lineages, but more conceivably to have been lost multiple times. Our present work, which aimed at reassessing these previous results based on a taxon sampling more representative of the ecological diversity of these fungi (lichenised versus non-lichenised), suggests a higher number of origins of lichenisation in the ascomycetes (three-five) than previously estimated (Lutzoni *et al.* 2001, James *et al.* 2006). In contrast to Lutzoni *et al.* (2001), the ancestor of the Eurotiales and Onygenales, two orders comprising medically and commercially important fungi (such as the genera *Aspergillus* and *Penicillium*), is here supported as being non-lichenised. The addition of non-lichenised lineages previously overlooked (*Mycocaliciales*, *Coryneliales* and the rock-inhabiting fungi) seems to account for most of the differences observed in ancestral state reconstructions (Lutzoni *et al.* 2001, James *et al.* 2006 and this study). A recent work (Schoch *et al.* in press), including the most exhaustive taxon and gene sampling currently available for ascomycetes (434 taxa and 6 genes), also suggests a higher number of lichenisation events (four-seven), supporting that, lichen symbiosis, although resulting from complex interactions, might have evolved independently many more times than previously inferred.

Rock surfaces as a cradle to lichen symbiosis

Based on our reconstructions, the *Verrucariales* likely resulted from an independent lichenisation event, and the ancestor of *Verrucariales* and *Chaetothyriales* inhabited rock surfaces. This rock-dwelling ancestor most likely relied on sparse nutrients provided by the winds or rainfalls. It is possible that, where unicellular free-living algae could survive on rock surfaces (mainly the northerly outcrops, which are less exposed to the sun), ancestral lineages of rock-inhabiting fungi were able to evolve symbiotic relationships with photosynthetic microorganisms in order to ensure a more constant source of carbohydrates. Recent studies have shown that, when cultured *in vitro* together with pure isolates of lichen algal symbionts, some rock-inhabiting fungi and one melanised lichen-colonising fungus could develop structures allowing a cellular contact with the algal cells (Gorbushina *et al.* 2005, Brunauer *et al.* 2007). This ability to develop symbiotic interactions with unicellular free-living algae might have allowed some rock-inhabiting fungal lineages to evolve lichenisation, such as the *Verrucariales*. Strikingly, preliminary data suggest that rock-inhabiting fungi also constitute early diverging lineages in Arthoniomycetes, another independently derived lichen lineage nested within a primarily non-lichenised group (data not shown).

From extremotolerance to pathogenicity

The order *Chaetothyriales* is particularly well known for its animal and human opportunistic pathogens (de Hoog *et al.* 2000). They infect their hosts either by inhalation, ingestion or traumatic inoculation, provoking a variety of illnesses, ranging from skin to fatal brain infections, both in immunocompromised and immunocompetent patients. Non-pathogenic asexual strains of *Chaetothyriales* can be isolated from the environment using selective culture methods (Prenafeta-Boldú *et al.* 2006), and closely related sexual saprophytes are found as secondary decomposers on decaying wood and mushrooms (Haase *et al.* 1999, Untereiner & Naveau 1999). Primary habitats of opportunistic pathogens and factors involved in pathogenicity are still not entirely

understood (Prenafeta-Boldú *et al.* 2006). Factors conferring invasive capability include the production of melanin and the meristematic growth (Schnitzler *et al.* 1999, Feng *et al.* 2001). It was previously suggested that these factors might have primarily evolved in response to extreme environments (de Hoog 1993, Haase *et al.* 1999, Prenafeta-Boldú *et al.* 2006). This hypothesis is now supported by our results, as they show that lineages diverging early in the evolution of the *Chaetothyriales* comprise a particularly high diversity in rock-inhabiting fungi, and that the ancestor of this order is a rock-inhabitant. Therefore, specific traits evolved by a rock-inhabiting ancestor for life in extreme habitats and potentially shared by all descendants within this lineage, can explain the numerous independent shifts to pathogenicity that have occurred in this group of fungi. Because clinical strains have to survive and multiply at body temperature, relying only on nutrients provided by the hosts, tolerance to high temperatures and oligotrophism might also be prerequisites to the evolution of animal and human pathogens (Prenafeta-Boldú *et al.* 2006).

This new insight in the evolution of the *Eurotiomycetes* accentuates the role that traits enabling survival in extreme conditions might have had on lifestyle transitions often observed in this class. Other opportunistic fungal pathogens in *Eurotiomycetes* have also been shown to primarily colonise extreme habitats, such as *Coccidioides immitis*, the agent of the respiratory disease known as valley fever, which occurs in desert regions in the Americas (Fisher *et al.* 2001). Unfortunately, as for many other environmental opportunistic fungal pathogens, limited knowledge on primary niches, ecological preferences and factors involved in pathogenicity, is available for the *Eurotiomycetes*. Because opportunistic fungal pathogens have become an important concern in Public Health, further work on their ecology and evolution is of highest importance.

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